#### DEPARTMENT OF EDUCATION

Applications for New Awards; Technical Assistance and
Dissemination to Improve Services and Results for Children
with Disabilities--National Technical Assistance Center to
Support Implementation and Scaling Up of Evidence-Based
Practices

AGENCY: Office of Special Education and Rehabilitative Services, Department of Education.

ACTION: Notice.

SUMMARY: The Department of Education (Department) is issuing a notice inviting applications for new awards for fiscal year (FY) 2022 for the National Technical Assistance Center to Support Implementation and Scaling Up of Evidence-Based Practices, Assistance Listing Number (ALN) 84.326K. This notice relates to the approved information collection under OMB control number 1820-0028.

#### DATES:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Transmittal of Applications: [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Deadline for Intergovernmental Review: [INSERT DATE 120 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Pre-Application Webinar Information: No later than [INSERT DATE 5 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL

REGISTER], the Office of Special Education Programs (OSEP) will post details on pre-recorded informational webinars designed to provide technical assistance (TA) to interested applicants. Links to the webinars may be found at www2.ed.gov/fund/grant/apply/osep/new-osep-grants.html. ADDRESSES: For the addresses for obtaining and submitting an application, please refer to our Common Instructions for Applicants to Department of Education Discretionary Grant Programs, published in the Federal Register on December 27, 2021 (86 FR 73264) and available at www.federalregister.gov/d/2021-27979. Please note that these Common Instructions supersede the version published on February 13, 2019, and, in part, describe the transition from the requirement to register in SAM.gov a Data Universal Numbering System (DUNS) number to the implementation of the Unique Entity Identifier (UEI). More information on the phase-out of DUNS numbers is available at https://www2.ed.gov/about/offices/list/ofo/docs/uniqueentity-identifier-transition-fact-sheet.pdf. FOR FURTHER INFORMATION CONTACT: Jennifer Coffey, U.S. Department of Education, 400 Maryland Avenue, SW, room 5134, Potomac Center Plaza, Washington, DC 20202-5076.

If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call the Federal Relay

Service (FRS), toll free, at 1-800-877-8339.

Telephone: (202) 245-6673. Email: Jennifer.Coffey@ed.gov.

#### SUPPLEMENTARY INFORMATION:

### Full Text of Announcement

I. Funding Opportunity Description

Purpose of Program: The purpose of the Technical
Assistance and Dissemination to Improve Services and
Results for Children with Disabilities program is to
promote academic achievement and to improve results for
children with disabilities by providing TA, supporting
model demonstration projects, disseminating useful
information, and implementing activities that are supported
by scientifically based research.

Priority: This competition includes one absolute priority. In accordance with 34 CFR 75.105(b)(2)(v), this priority is from allowable activities specified in sections 663 and 681(d) of the Individuals with Disabilities Education Act (IDEA); 20 U.S.C. 1463 and 1481(d).

Absolute Priority: For FY 2022 and any subsequent year in which we make awards from the list of unfunded applications from this competition, this priority is an absolute priority. Under 34 CFR 75.105(c)(3), we consider only applications that meet this priority.

This priority is:

National Center to Support Implementation and Scaling
Up of Evidence-Based Practices.

### Background:

The University of Washington's Implementation Science
Resource Hub defines "implementation science" as "the
scientific study of methods and strategies that facilitate
the uptake of evidence-based practice and research into
regular use by practitioners and policymakers." (The
University of Washington, 2021). Implementation science
provides the bridge between research and practice,
supporting implementation of effective interventions,
programs, and practices that can improve results for
children with disabilities.

OSEP has supported the use of implementation science since 2007, with the inception of a TA Center created to assist State educational agencies (SEAs) in implementing and scaling up effective practices, such as evidence-based reading, math, and behavior interventions. As a result of this assistance, States are building infrastructure that supports the use and scaling up of effective practices that improve outcomes for children with disabilities (Ruedel et al., 2021). While many of these States report using the frameworks and resources developed and disseminated by OSEP's TA Center, they also report significant challenges to their efforts to create a lasting infrastructure that supports implementation (Ruedel et al., 2021). They struggle to provide support to their districts while keeping an agency-wide focus on building this infrastructure. When supported by a TA Center,

partnerships among the SEA, local educational agencies (LEAs), institutions of higher education (IHEs), and regional TA providers can build a lasting statewide infrastructure.

The magnitude of change that must occur at the State, district, and school levels for large-scale use of implementation science requires a specialist who can support collaboration and systemic alignment (Kittelman et al., 2020). There is rarely a sufficient number of TA providers trained in implementation science (Sanetti & Collier-Meek, 2019) to support each district in a State. The work of the SEA is also made more challenging by staff turnover and overall lack of personnel capacity (Weiss & McGuinn, 2017).

A new corps of implementation specialists could be developed through the establishment of implementation science competencies supported via micro-credentials.

These implementation specialists would then be available to assist the State, regional, and district levels of the education system. Additionally, by integrating implementation science into doctoral leadership programs, universities could support the development of implementation science competencies in their educator, leader, and scholar preparation programs.

This Center will advance the Secretary's priorities in the areas of supporting a diverse educator workforce and

their professional growth to strengthen student learning and strengthening cross-agency coordination and community engagement to advance systemic change. The Center will support States in implementing evidence-based practices that improve results for children with disabilities. The Center will also expand opportunities for educators to receive the implementation support they need.

## Priority:

The purpose of this priority is to fund a cooperative agreement to establish and operate a National Technical Assistance Center to Support Implementation and Scaling Up of Evidence-Based Practices. The Center will support States' use of implementation science to create a statewide infrastructure that supports implementation of evidence-based¹ practices (EBPs). The Center must achieve, at a minimum, the following expected outcomes:

- (a) Development of implementation science microcredentials;
- (b) A minimum of 25 individuals trained annually as implementation science specialists through the completion of the micro-credentials;
- (c) Creation of a TA hub for OSEP-funded doctoral programs that results in at least five of these programs

<sup>&</sup>lt;sup>1</sup>For the purposes of this priority, "evidence-based" means, at a minimum, evidence that demonstrates a rationale (as defined in 34 CFR 77.1), where a key project component included in the project's logic model is informed by research or evaluation findings that suggest the project component is likely to improve relevant outcomes.

integrating implementation science into their program of study;

- (d) A community of practice (CoP) for IHE faculty interested in learning about implementation science and how to integrate implementation science into their curricula;
- (e) Integration of implementation science into the program of study of at least five OSEP-funded State leadership projects (ALN 84.325L);
- (f) An infrastructure that facilitates scaling implementation supports, including developing the capacity of regional TA providers, in eight States;<sup>2</sup>
- (g) A CoP for States interested in learning more about implementation science, but that are not yet ready for full implementation; and
- (h) The integration of implementation science frameworks and related resources into the provision of TA by at least five OSEP-funded TA Centers.

In addition to these programmatic requirements, to be considered for funding under this priority, applicants must meet the application and administrative requirements in this priority, which are:

 $<sup>^2</sup>$  Florida, New Jersey, and Virginia currently receive TA from the Center funded under the FY 2017 competition. The Center must continue to provide TA to these States, if the States elect. Note that each of the States that elects to continue receiving TA counts as one of the 8 States.

- (a) Demonstrate, in the narrative section of the application under "Significance," how the proposed project will--
- (1) Address gaps in State infrastructure to support full implementation and scaling up of EBPs. To meet this requirement, the applicant must--
- (i) Present applicable national, State, regional, and local data, and research addressing how SEAs, Regional Education Service Agencies (RESAs), IHEs, and TA providers are integrating implementation science into their services;
- (ii) Demonstrate knowledge of current educational issues and policy initiatives relating to implementation science and scaling up EBPs; and
- (iii) Present information about the current level of use of implementation science in the field of education; and
- (2) Improve outcomes for children with disabilities by assisting with the development of statewide infrastructure that supports full implementation and scaling up of EBPs and indicate the likely magnitude or importance of the improvements.
- (b) Demonstrate, in the narrative section of the application under "Quality of project services," how the proposed project will--
- (1) Ensure equal access and treatment for members of groups that have traditionally been underrepresented based

on race, color, national origin, gender, age, or disability. To meet this requirement, the applicant must describe how it will--

- (i) Identify the needs of the intended recipients for TA and information; and
- (ii) Ensure that products and services meet the needs of the intended recipients of the grant;
- (2) Achieve its goals, objectives, and intended outcomes. To meet this requirement, the applicant must provide--
  - (i) Measurable intended project outcomes; and
- (ii) In Appendix A, the logic model<sup>3</sup> by which the proposed project will achieve its intended outcomes that depicts, at a minimum, the goals and how they will be measured, activities, outputs, and intended outcomes of the proposed project;
- (3) Use a conceptual framework (and provide a copy in Appendix A) to develop project plans and activities, describing any underlying concepts, assumptions, expectations, beliefs, or theories, as well as the presumed relationships or linkages among these variables, and any empirical support for this framework;

<sup>&</sup>lt;sup>3</sup> Logic model (34 CFR 77.1) (also referred to as a theory of action) means a framework that identifies key project components of the proposed project (i.e., the active "ingredients" that are hypothesized to be critical to achieving the relevant outcomes) and describes the theoretical and operational relationships among the key project components and relevant outcomes.

Note: The following websites provide more information on logic models and conceptual frameworks:

https://osepideasthatwork.org/find-a-resource/cipp-logic-model-outline; https://osepideasthatwork.org/find-a-resource/logic-models-and-performance-measures; and www.osepideasthatwork.org/resources-grantees/program-areas/ta-ta/tad-project-logic-model-and-conceptual-framework.

- (4) Be based on current research and make use of EBPs. To meet this requirement, the applicant must describe--
- (i) The current research on performance measurement related to implementation science and the EBPs that States are supporting with implementation science;
- (ii) The current research about adult learning principles and implementation science that will inform the proposed TA; and
- (iii) How the proposed project will incorporate current research and EBPs in the development and delivery of its products and services;
- (5) Develop products and provide services that are of high quality and sufficient intensity and duration to achieve the intended outcomes of the proposed project. To address this requirement, the applicant must describe--
- (i) How it proposes to identify or develop and expand the knowledge base;

- (ii) Its proposed approach to universal, general TA,<sup>4</sup> which must identify the intended recipients, including the type and number of recipients, that will receive the products and services, a description of the products and services that the Center proposes to make available, and the expected impact of those products and services under this approach;
- (iii) Its proposed approach to targeted, specialized  ${\rm TA}$ ,  $^{5}$  which must identify--
- (A) The intended recipients, including the type and number of recipients, that will receive the products and services under this approach; and
- (B) Its proposed approach to measure the readiness of potential TA recipients to work with the project, assessing, at a minimum, their current infrastructure, available resources, and ability to build capacity at the local level; and

<sup>&</sup>lt;sup>4</sup> "Universal, general TA" means TA and information provided to independent users through their own initiative, resulting in minimal interaction with TA center staff and including one-time, invited or offered conference presentations by TA center staff. This category of TA also includes information or products, such as newsletters, guidebooks, or research syntheses, downloaded from the TA center's website by independent users. Brief communications by TA center staff with recipients, either by telephone or email, are also considered universal, general TA.

<sup>&</sup>lt;sup>5</sup> "Targeted, specialized TA" means TA services based on needs common to multiple recipients and not extensively individualized. A relationship is established between the TA recipient and one or more TA center staff. This category of TA includes one-time, labor-intensive events, such as facilitating strategic planning or hosting regional or national conferences. It can also include episodic, less labor-intensive events that extend over a period of time, such as facilitating a series of conference calls on single or multiple topics that are designed around the needs of the recipients. Facilitating communities of practice can also be considered targeted, specialized TA.

- (iv) Its proposed approach to intensive, sustained TA, 6 which must identify--
- (A) The intended recipients, including the type and number of recipients, that will receive the products and services, a description of the products and services that the Center proposes to make available, and the expected impact of those products and services under this approach;
- (B) Its proposed approach to measure the readiness of the SEAs to work with the project, including their commitment to the initiative, alignment of the initiative to their needs, current infrastructure, available resources, and ability of the SEAs to build capacity at the local level;
- (C) Its proposed plan for assisting SEAs to build or enhance training systems that include professional development based on adult learning principles and coaching; and
- (D) Its proposed plan for working with appropriate levels of the education system (e.g., SEAs, regional TA providers, districts, schools, families) to ensure that there is communication between each level and that there are systems in place to support the use of EBPs;

<sup>&</sup>lt;sup>6</sup> "Intensive, sustained TA" means TA services often provided on-site and requiring a stable, ongoing relationship between the TA center staff and the TA recipient. "TA services" are defined as negotiated series of activities designed to reach a valued outcome. This category of TA should result in changes to policy, program, practice, or operations that support increased recipient capacity or improved outcomes at one or more systems levels.

- (6) Develop products and implement services that maximize efficiency. To address this requirement, the applicant must describe--
- (i) How the proposed project will use technology to achieve the intended project outcomes;
- (ii) With whom the proposed project will collaborate and the intended outcomes of this collaboration; and
- (iii) How the proposed project will use non-project resources to achieve the intended project outcomes; and
- (7) Develop a dissemination plan that describes how the applicant will systematically distribute information, products, and services to varied intended audiences, using a variety of dissemination strategies, to promote awareness and use of the Center's products and services.
- (c) In the narrative section of the application under "Quality of the project evaluation," include an evaluation plan for the project as described in the following paragraphs. The evaluation plan must describe: measures of progress in implementation, including the criteria for determining the extent to which the project's products and services have met the goals for reaching its target population; measures of intended outcomes or results of the project's activities in order to evaluate those activities; and how well the goals or objectives of the proposed project, as described in its logic model, have been met.

The applicant must provide an assurance that, in designing the evaluation plan, it will--

- (1) Designate, with the approval of the OSEP project officer, a project liaison with sufficient dedicated time, experience in evaluation, and knowledge of the project to work in collaboration with the Center to Improve Program and Project Performance (CIPP), the project director, and the OSEP project officer on the following tasks:
- (i) Revise the logic model submitted in the application to provide for a more comprehensive measurement of implementation and outcomes and to reflect any changes or clarifications to the model discussed at the kick-off meeting;
- (ii) Refine the evaluation design and instrumentation proposed in the application consistent with the revised logic model and using the most rigorous design suitable (e.g., prepare evaluation questions about significant program processes and outcomes; develop quantitative or qualitative data collections that permit both the collection of progress data, including fidelity of

<sup>&</sup>lt;sup>7</sup> The major tasks of CIPP are to guide, coordinate, and oversee the design of formative evaluations for every large discretionary investment (i.e., those awarded \$500,000 or more per year and required to participate in the 3+2 process) in OSEP's Technical Assistance and Dissemination; Personnel Development; Parent Training and Information Centers; and Educational Technology, Media, and Materials programs. The efforts of CIPP are expected to enhance individual project evaluation plans by providing expert and unbiased TA in designing the evaluations with due consideration of the project's budget. CIPP does not function as a third-party evaluator.

implementation, as appropriate, and the assessment of project outcomes; and identify analytic strategies); and

- (A) Clearly specifies the evaluation questions,
  measures, and associated instruments or sources for data
  appropriate to answer these questions, suggests analytic
  strategies for those data, provides a timeline for
  conducting the evaluation, and includes staff assignments
  for completing the evaluation activities;
- (B) Clearly delineates the data expected to be available by the end of the second project year for use during the project's evaluation (3+2 review) for continued funding described under the heading Fourth and Fifth Years of the Project; and
- (C) Can be used to assist the project director and the OSEP project officer, with the assistance of CIPP, as needed, to specify the project performance measures to be addressed in the project's annual performance report;
- (2) Dedicate sufficient staff time and other resources during the first six months of the project to collaborate with CIPP staff, including regular meetings (e.g., weekly, biweekly, or monthly) with CIPP and the OSEP project officer, in order to accomplish the tasks described in paragraph (c)(1) of this section; and

- (3) Dedicate sufficient funds in each budget year to cover the costs of carrying out the tasks described in paragraphs (c)(1) and (2) of this section and revising and implementing the evaluation plan. Please note in your budget narrative the funds dedicated for this activity.
- (d) Demonstrate, in the narrative section of the application under "Adequacy of resources and quality of project personnel," how--
- (1) The proposed project will encourage applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability, as appropriate;
- (2) The proposed key project personnel, consultants, and subcontractors have the qualifications and experience to carry out the proposed activities and achieve the project's intended outcomes;
- (3) The applicant and any key partners have adequate resources to carry out the proposed activities; and
- (4) The proposed costs are reasonable in relation to the anticipated results and benefits.
- (e) Demonstrate, in the narrative section of the application under "Quality of the management plan," how--
- (1) The proposed management plan will ensure that the project's intended outcomes will be achieved on time and

within budget. To address this requirement, the applicant must describe--

- (i) Clearly defined responsibilities for key project personnel, consultants, and subcontractors, as applicable;
- (ii) Timelines and milestones for accomplishing the project tasks;
- (2) Key project personnel and any consultants and subcontractors will be allocated and how these allocations are appropriate and adequate to achieve the project's intended outcomes;
- (3) The proposed management plan will ensure that the products and services provided are of high quality, relevant, and useful to recipients; and
- (4) The proposed project will benefit from a diversity of perspectives, including those of families, educators, TA providers, researchers, and policy makers, among others, in its development and operation.
- (f) Address the following application requirements. The applicant must--
- (1) Include, in Appendix A, personnel-loading charts and timelines, as applicable, to illustrate the management plan described in the narrative;
- (2) Include, in the budget, attendance at the following:

- (i) A one and one-half day kick-off meeting in Washington, DC, or virtually, after receipt of the award, and an annual planning meeting in Washington, DC, or virtually, with the OSEP project officer and other relevant staff during each subsequent year of the project period.

  Note: Within 30 days of receipt of the award, a post-award teleconference must be held between the OSEP project officer and the grantee's project director or other authorized representative;
- (ii) A two and one-half day project directors'
  conference in Washington, DC, or virtually, during each
  year of the project period;
- (iii) One annual two-day trip, or virtually, to attend Department briefings, Department-sponsored conferences, and other meetings, as requested by OSEP; and
- (iv) A one-day intensive 3+2 review meeting in Washington, DC, or virtually, during the last half of the second year of the project period;
- (3) Include, in the budget, a line item for an annual set-aside of 5 percent of the grant amount to support emerging needs that are consistent with the proposed project's intended outcomes, as those needs are identified in consultation with, and approved by, the OSEP project officer. With approval from the OSEP project officer, the project must reallocate any remaining funds from this

annual set-aside no later than the end of the third quarter of each budget period;

- (4) Maintain a high-quality website, with an easy-to-navigate design, that meets government or industry-recognized standards for accessibility;
- (5) Ensure that annual project progress toward meeting project goals is posted on the project website; and
- (6) Include, in Appendix A, an assurance to assist

  OSEP with the transfer of pertinent resources and products
  and to maintain the continuity of services to States during
  the transition to this new award period and at the end of
  this award period, as appropriate.

# Fourth and Fifth Years of the Project:

In deciding whether to continue funding the project for the fourth and fifth years, the Secretary will consider the requirements of 34 CFR 75.253(a), including--

- (a) The recommendations of a 3+2 review team consisting of experts who have experience and knowledge in implementation science and EBPs. This review will be conducted during a one-day intensive meeting that will be held during the last half of the second year of the project period;
- (b) The timeliness with which, and how well, the requirements of the negotiated cooperative agreement have been or are being met by the project; and

(c) The quality, relevance, and usefulness of the project's products and services and the extent to which the project's products and services are aligned with the project's objectives and likely to result in the project achieving its intended outcomes.

Under 34 CFR 75.253, the Secretary may reduce continuation awards or discontinue awards in any year of the project period for excessive carryover balances or a failure to make substantial progress. The Department intends to closely monitor unobligated balances and substantial progress under this program and may reduce or discontinue funding accordingly.

# References:

- Kittelman, A., Horner, R. H., & Rowe, D. A. (2020).

  Selecting Evidence-Based Practices to Improve Learning
  and Behavior. Teaching Exceptional Children, 53(2):
  96-98. https://doi.org/10.1177/0040059920964684.
- Ruedel, K., Thompson, A. L., Kuchle, L., & D'Agord, C.

  (2021). Highlights from five years of reviewing State

  systemic improvement plans. Unpublished Report.
- Sanetti, L., & Collier-Meek, M. (2019). Increasing
  implementation science literacy to address the
  research-to-practice gap in school psychology.

  Journal of School Psychology, 76, 33-47.
  https://doi.org/10.1016/j.jsp.2019.07.008.

The University of Washington. (2021). What is

implementation science? Implementation Science

Resource Hub. https://impsciuw.org/implementationscience/learn/implementation-science-overview/.

Weiss, J., & McGuinn, P. (2017). The evolving role of the State education agency in the era of ESSA and Trump:

Past, present, and uncertain future (CPRE Working
Paper No. 14). Consortium for Policy Research in

Education.

http://repository.upenn.edu/cpre workingpapers/14/.

Waiver of Proposed Rulemaking: Under the Administrative

Procedure Act (APA) (5 U.S.C. 553) the Department generally

offers interested parties the opportunity to comment on

proposed priorities. Section 681(d) of IDEA, however,

makes the public comment requirements of the APA

inapplicable to the priority in this notice.

Program Authority: 20 U.S.C. 1463 and 1481.

Note: Projects will be awarded and must be operated in a manner consistent with the nondiscrimination requirements contained in Federal civil rights laws.

Applicable Regulations: (a) The Education Department

General Administrative Regulations in 34 CFR parts 75, 77,

79, 81, 82, 84, 86, 97, 98, and 99. (b) The Office of

Management and Budget Guidelines to Agencies on

Governmentwide Debarment and Suspension (Nonprocurement) in

2 CFR part 180, as adopted and amended as regulations of

the Department in 2 CFR part 3485. (c) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.

Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian Tribes.
Note: The regulations in 34 CFR part 86 apply to IHEs only.

#### II. Award Information

Type of Award: Cooperative agreement.

Estimated Available Funds: The Administration has requested \$49,345,000 for the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program for FY 2022, of which we intend to use an estimated \$1,200,000 for this competition. The actual level of funding, if any, depends on final congressional action. However, we are inviting applications to allow enough time to complete the grant process if Congress appropriates funds for this program.

Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2023 from the list of unfunded applications from this competition.

Maximum Award: We will not make an award exceeding
\$1,200,000 for a single budget period of 12 months.

Estimated Number of Awards: 1.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 60 months.

### III. Eligibility Information

- 1. Eligible Applicants: SEAs; LEAs, including public charter schools that are considered LEAs under State law; IHEs; other public agencies; private nonprofit organizations; freely associated States and outlying areas; Indian Tribes or Tribal organizations; and for-profit organizations.
- 2. a. <u>Cost Sharing or Matching</u>: This competition does not require cost sharing or matching.
- b. Indirect Cost Rate Information: This program uses an unrestricted indirect cost rate. For more information regarding indirect costs, or to obtain a negotiated indirect cost rate, please see www2.ed.gov/about/offices/list/ocfo/intro.html.
- c. Administrative Cost Limitation: This program does not include any program-specific limitation on administrative expenses. All administrative expenses must be reasonable and necessary and conform to the Cost Principles described in 2 CFR part 200 subpart E of the Uniform Guidance.
- 3. <u>Subgrantees</u>: A grantee under this competition may not award subgrants to entities to directly carry out

project activities described in its application. Under 34 CFR 75.708(e), a grantee may contract for supplies, equipment, and other services in accordance with 2 CFR part 200.

## 4. Other General Requirements:

- a. Recipients of funding under this competition must make positive efforts to employ and advance in employment qualified individuals with disabilities (see section 606 of IDEA).
- b. Applicants for, and recipients of, funding must, with respect to the aspects of their proposed project relating to the absolute priority, involve individuals with disabilities, or parents of individuals with disabilities ages birth through 26, in planning, implementing, and evaluating the project (see section 682(a)(1)(A) of IDEA).

## IV. Application and Submission Information

1. Application Submission Instructions: Applicants are required to follow the Common Instructions for Applicants to Department of Education Discretionary Grant Programs, published in the Federal Register on December 27, 2021 (86 FR 73264) and available at www.federalregister.gov/d/2021-27979, which contain requirements and information on how to submit an application. Please note that these Common Instructions supersede the version published on February 13, 2019, and, in part, describe the transition from the requirement to

register in SAM.gov a DUNS number to the implementation of the UEI. More information on the phase-out of DUNS numbers is available at

https://www2.ed.gov/about/offices/list/ofo/docs/uniqueentity-identifier-transition-fact-sheet.pdf.

- 2. <u>Intergovernmental Review</u>: This competition is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this competition.
- 3. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable</u>
  Regulations section of this notice.
- 4. Recommended Page Limit: The application narrative is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. We recommend that you (1) limit the application narrative to no more than 70 pages and (2) use the following standards:
- A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double-space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, reference citations, and captions, as well as all text in charts, tables, figures, graphs, and screen shots.
  - Use a font that is 12 point or larger.

• Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial.

The recommended page limit does not apply to the cover sheet; the budget section, including the narrative budget justification; the assurances and certifications; or the abstract (follow the guidance provided in the application package for completing the abstract), the table of contents, the list of priority requirements, the resumes, the reference list, the letters of support, or the appendices. However, the recommended page limit does apply to all of the application narrative, including all text in charts, tables, figures, graphs, and screen shots.

# V. Application Review Information

- 1. <u>Selection Criteria</u>: The selection criteria for this competition are from 34 CFR 75.210 and are listed below:
  - (a) Significance (10 points).
- (1) The Secretary considers the significance of the proposed project.
- (2) In determining the significance of the proposed project, the Secretary considers the following factors:
- (i) The extent to which specific gaps or weaknesses in services, infrastructure, or opportunities have been identified and will be addressed by the proposed project, including the nature and magnitude of those gaps or weaknesses.

- (ii) The importance or magnitude of the results or outcomes likely to be attained by the proposed project.
  - (b) Quality of project services (35 points).
- (1) The Secretary considers the quality of the services to be provided by the proposed project.
- (2) In determining the quality of the services to be provided by the proposed project, the Secretary considers the quality and sufficiency of strategies for ensuring equal access and treatment for eligible project participants who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability.
- (3) In addition, the Secretary considers the following factors:
- (i) The extent to which the goals, objectives, and outcomes to be achieved by the proposed project are clearly specified and measurable.
- (ii) The extent to which the services to be provided by the proposed project reflect up-to-date knowledge from research and effective practice.
- (iii) The extent to which the training or professional development services to be provided by the proposed project are of sufficient quality, intensity, and duration to lead to improvements in practice among the recipients of those services.

- (iv) The extent to which the TA services to be provided by the proposed project involve the use of efficient strategies, including the use of technology, as appropriate, and the leveraging of non-project resources.
  - (c) Quality of the project evaluation (20 points).
- (1) The Secretary considers the quality of the evaluation to be conducted of the proposed project.
- (2) In determining the quality of the evaluation, the Secretary considers the following factors:
- (i) The extent to which the methods of evaluation are thorough, feasible, and appropriate to the goals, objectives, and outcomes of the proposed project.
- (ii) The extent to which the methods of evaluation provide for examining the effectiveness of project implementation strategies.
- (d) Adequacy of resources and quality of project personnel (15 points).
- (1) The Secretary considers the adequacy of resources for the proposed project and the quality of the personnel who will carry out the proposed project.
- (2) In determining the quality of project personnel, the Secretary considers the extent to which the applicant encourages applications for employment from persons who are members of groups that have traditionally been underrepresented based on race, color, national origin, gender, age, or disability.

- (3) In addition, the Secretary considers the following factors:
- (i) The qualifications, including relevant training and experience, of key project personnel.
- (ii) The relevance and demonstrated commitment of each partner in the proposed project to the implementation and success of the project.
- (iii) The extent to which the budget is adequate to support the proposed project.
  - (e) Quality of the management plan (20 points).
- (1) The Secretary considers the quality of the management plan for the proposed project.
- (2) In determining the quality of the management plan for the proposed project, the Secretary considers the following factors:
- (i) The adequacy of the management plan to achieve the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks.
- (ii) The extent to which the time commitments of the project director and principal investigator and other key project personnel are appropriate and adequate to meet the objectives of the proposed project.
- (iii) How the applicant will ensure that a diversity of perspectives are brought to bear in the operation of the proposed project, including those of parents, teachers, the

business community, a variety of disciplinary and professional fields, recipients or beneficiaries of services, or others, as appropriate.

2. Review and Selection Process: We remind potential applicants that in reviewing applications in any discretionary grant competition, the Secretary may consider, under 34 CFR 75.217(d)(3), the past performance of the applicant in carrying out a previous award, such as the applicant's use of funds, achievement of project objectives, and compliance with grant conditions. The Secretary may also consider whether the applicant failed to submit a timely performance report or submitted a report of unacceptable quality.

In addition, in making a competitive grant award, the Secretary requires various assurances, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

3. Additional Review and Selection Process Factors:

In the past, the Department has had difficulty finding peer reviewers for certain competitions because so many individuals who are eligible to serve as peer reviewers have conflicts of interest. The standing panel requirements under section 682(b) of IDEA also have placed additional constraints on the availability of reviewers.

Therefore, the Department has determined that for some discretionary grant competitions, applications may be separated into two or more groups and ranked and selected for funding within specific groups. This procedure will make it easier for the Department to find peer reviewers by ensuring that greater numbers of individuals who are eligible to serve as reviewers for any particular group of applicants will not have conflicts of interest. It also will increase the quality, independence, and fairness of the review process, while permitting panel members to review applications under discretionary grant competitions for which they also have submitted applications.

- 4. Risk Assessment and Specific Conditions:
- Consistent with 2 CFR 200.206, before awarding grants under this competition the Department conducts a review of the risks posed by applicants. Under 2 CFR 200.208, the Secretary may impose specific conditions, and under 2 CFR 3474.10, in appropriate circumstances, high-risk conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 2 CFR part 200, subpart D; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.
- 5. <u>Integrity and Performance System</u>: If you are selected under this competition to receive an award that

over the course of the project period may exceed the simplified acquisition threshold (currently \$250,000), under 2 CFR 200.206(a)(2) we must make a judgment about your integrity, business ethics, and record of performance under Federal awards—that is, the risk posed by you as an applicant—before we make an award. In doing so, we must consider any information about you that is in the integrity and performance system (currently referred to as the Federal Awardee Performance and Integrity Information System (FAPIIS)), accessible through the System for Award Management. You may review and comment on any information about yourself that a Federal agency previously entered and that is currently in FAPIIS.

Please note that, if the total value of your currently active grants, cooperative agreements, and procurement contracts from the Federal Government exceeds \$10,000,000, the reporting requirements in 2 CFR part 200, Appendix XII, require you to report certain integrity information to FAPIIS semiannually. Please review the requirements in 2 CFR part 200, Appendix XII, if this grant plus all the other Federal funds you receive exceed \$10,000,000.

6. <u>In General</u>: In accordance with the Office of Management and Budget's guidance located at 2 CFR part 200, all applicable Federal laws, and relevant Executive guidance, the Department will review and consider

applications for funding pursuant to this notice inviting applications in accordance with--

- (a) Selecting recipients most likely to be successful in delivering results based on the program objectives through an objective process of evaluating Federal award applications (2 CFR 200.205);
- (b) Prohibiting the purchase of certain telecommunication and video surveillance services or equipment in alignment with section 889 of the National Defense Authorization Act for Fiscal Year 2019 (Pub. L. No. 115-232) (2 CFR 200.216);
- (c) Providing a preference, to the extent permitted by law, to maximize use of goods, products, and materials produced in the United States (2 CFR 200.322); and
- (d) Terminating agreements in whole or in part to the greatest extent authorized by law if an award no longer effectuates the program goals or agency priorities (2 CFR 200.340).

### VI. Award Administration Information

1. Award Notices: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN); or we may send you an email containing a link to access an electronic version of your GAN. We may notify you informally, also.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements:
We identify administrative and national policy requirements
in the application package and reference these and other
requirements in the Applicable Regulations section of this
notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

3. Open Licensing Requirements: Unless an exception applies, if you are awarded a grant under this competition, you will be required to openly license to the public grant deliverables created in whole, or in part, with Department grant funds. When the deliverable consists of modifications to pre-existing works, the license extends only to those modifications that can be separately identified and only to the extent that open licensing is permitted under the terms of any licenses or other legal restrictions on the use of pre-existing works.

Additionally, a grantee that is awarded competitive grant funds must have a plan to disseminate these public grant deliverables. This dissemination plan can be developed and submitted after your application has been reviewed and

selected for funding. For additional information on the open licensing requirements please refer to 2 CFR 3474.20.

- 4. Reporting: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 2 CFR part 170 should you receive funding under the competition. This does not apply if you have an exception under 2 CFR 170.110(b).
- (b) At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multiyear award, you must submit an annual performance report that provides the most current performance and financial expenditure information as directed by the Secretary under 34 CFR 75.118. The Secretary may also require more frequent performance reports under 34 CFR 75.720(c). For specific requirements on reporting, please go to www.ed.gov/fund/grant/apply/appforms/appforms.html.
- 5. Performance Measures: For the purposes of
  Department reporting under 34 CFR 75.110, we have
  established a set of performance measures, including longterm measures, that are designed to yield information on
  various aspects of the effectiveness and quality of the
  Technical Assistance and Dissemination to Improve Services
  and Results for Children With Disabilities program. These
  measures are:

- Program Performance Measure 1: The percentage of technical assistance and dissemination products and services deemed to be of high quality by an independent review panel of experts qualified to review the substantive content of the products and services.
- Program Performance Measure 2: The percentage of special education technical assistance and dissemination products and services deemed by an independent review panel of qualified experts to be of high relevance to educational and early intervention policy or practice.
- Program Performance Measure 3: The percentage of all special education technical assistance and dissemination products and services deemed by an independent review panel of qualified experts to be useful in improving educational or early intervention policy or practice.
- Program Performance Measure 4: The cost efficiency of the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program includes the percentage of milestones achieved in the current annual performance report period and the percentage of funds spent during the current fiscal year.
- Long-term Program Performance Measure: The
  percentage of States receiving special education technical
  assistance and dissemination services regarding
  scientifically or evidence-based practices for infants,

toddlers, children, and youth with disabilities that successfully promote the implementation of those practices in school districts and service agencies.

The measures apply to projects funded under this competition, and grantees are required to submit data on these measures as directed by OSEP.

Grantees will be required to report information on their project's performance in annual and final performance reports to the Department (34 CFR 75.590).

The Department will also closely monitor the extent to which the products and services provided by the Center meet needs identified by stakeholders and may require the Center to report on such alignment in their annual and final performance reports.

6. Continuation Awards: In making a continuation award under 34 CFR 75.253, the Secretary considers, among other things: whether a grantee has made substantial progress in achieving the goals and objectives of the project; whether the grantee has expended funds in a manner that is consistent with its approved application and budget; and, if the Secretary has established performance measurement requirements, whether the grantee has made substantial progress in achieving the performance targets in the grantee's approved application.

In making a continuation award, the Secretary also considers whether the grantee is operating in compliance

with the assurances in its approved application, including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department (34 CFR 100.4, 104.5, 106.4, 108.8, and 110.23).

VII. Other Information

Accessible Format: On request to the program contact person listed under FOR FURTHER INFORMATION CONTACT, individuals with disabilities can obtain this document and a copy of the application package in an accessible format. The Department will provide the requestor with an accessible format that may include Rich Text Format (RTF) or text format (txt), a thumb drive, an MP3 file, braille, large print, audiotape, or compact disc, or other accessible format.

Electronic Access to This Document: The official version of this document is the document published in the Federal Register. You may access the official edition of the Federal Register and the Code of Federal Regulations at www.govinfo.gov. At this site you can view this document, as well as all other documents of this Department published in the Federal Register, in text or Portable Document Format (PDF). To use PDF you must have Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the Federal Register by using the article

search feature at www.federalregister.gov. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department.

Katherine Neas,
Deputy Assistant Secretary.
Delegated the authority to perform
the functions and duties of the
Assistant Secretary for the Office
of Special Education and
Rehabilitative Services.

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